



**OFFICE OF RESEARCH SERVICES  
DISCLOSURE OF OUTSIDE FINANCIAL INTERESTS AND ACTIVITIES  
RELATED TO SPONSORED PROGRAMS**

This form is intended to be used for activities involving external relationships related to proposed external activities that require disclosure, including those for yourself, your immediate family and/or household, or an associated entity, which are new to the University or where conditions have substantially changed. All existing relationships, including those approved under policies prior to the adoption of the University of Oklahoma's policy regarding conflicts of interest which can be found at the links listed below, will require disclosure and will be appropriately reviewed as specified in that policy.<sup>1</sup>

Employee Name: \_\_\_\_\_

Department: \_\_\_\_\_

College: \_\_\_\_\_

If this activity involves receipt of external funding, please provide the following information.

Title of Proposal: \_\_\_\_\_

Funding Agency: \_\_\_\_\_

Name of company doing work related to the proposed project in which you or any member of your family<sup>2</sup> hold, or expect to acquire during the term of the proposed project, a substantial financial interest:

Address: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

Respond to the following questions for yourself and/or any member of your family.

Position(s) held in the business (if any): \_\_\_\_\_

Have you received \$5,000 or more in salary, payment for services, equity interests, and intellectual property rights from this business during the past year?

NO                       YES - value exceeds \$5,000

Do you own an equity interest in the business?

NO                       YES - value is less than 5 percent  
 YES - value is 5 percent or greater

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<sup>1</sup> <https://www.ou.edu/conflictinterest>

<sup>1</sup> [https://www.ou.edu/regents/official\\_agenda/CurrentPolicyManual.pdf](https://www.ou.edu/regents/official_agenda/CurrentPolicyManual.pdf) Section 3.1.7 - Individual Conflicts of Interest

<sup>2</sup>Family includes any individual who is a spouse, parent, stepparent, child, stepchild, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent or grandchild of any employee or a member of the employee's household.

Relationship between proposed project and business (please check all that apply):

- Business may employ University employees and/or students.
- Business interests of the company are substantially related to subject matter of the proposed project.
- Business is being asked to sponsor the proposed project.
- Business may compete for provision of goods or services paid for with funds provided by sponsoring agency.
- Relationship requires use of University-owned equipment and/or facilities.
- Relationship requires use of University-owned intellectual property.

Possible restrictions resulting from this relationship (please check all that apply):

- This proposed project has the potential to limit the ability of students to publish research results or to direct their research for primarily commercial purposes.
- This proposed project involves the potential for restrictions on disclosure or publication of research results.

I have read and understand the Board of Regents' policy regarding conflicts of interest and declare that I have used all reasonable diligence in preparing this disclosure statement and, to the best of my knowledge, it is true, accurate, and complete. I understand that, in accordance with the terms of the policy, it is my responsibility to disclose any changes that occur in my significant financial interests in this same manner.

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

**\*\*\* The PI must also complete the Conflict of Interest Review and Management Form which can be found here: <https://www.ou.edu/research-norman/research-services/guidance/conflict-of-interest>**