

SECTION A. PROJECT INFORMATION

PASS-THROUGH ENTITY (PTE) INFORMATION – to be completed by OU

PTE Institution	Board of Regents of the University of Oklahoma	Proposal #	
PTE PI			
Prime Sponsor			
Project Title			

SUBRECIPIENT INFORMATION

Institution Legal Name			
FDP Expanded Clearinghouse Profile URL			
PI Name		UEI	
PI Phone		PI E-Mail	
Project Period			
Performance Site Address		Congressional District #	
Direct Costs		F&A Costs	Total Costs
Administrative Contact			
	Phone	E-Mail	
Authorized Official			
	Phone	E-Mail	

PROJECT INFORMATION

	YES/NO	APPROVAL DATE OR PENDING		YES/NO	APPROVAL DATE OR PENDING
Human Subjects			Recombinant DNA		
Vertebrate Animals			Program Income		
Human Embryonic Stem Cells			Cost Sharing/Match		
Select Agents			Export Control		

REQUIRED DOCUMENTATION

Statement of Work Budget and Budget Justification for each budget year Biosketches of all Key Personnel: use sponsor-required format

Section B. Subrecipient Approval

In signing below and offering to participate in this research program, the Subrecipient Institution certifies that neither they nor their principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from receiving funds from any federal department or agency and are not delinquent on any federal debt; they are in compliance with the Drug Free Workplace Act of 1988; they are in compliance with U.S. Code, Section 1352, restrictions on the use of federal funds for the purpose of lobbying; they have filed annually with the Office of Scientific Integrity a PHS form 6349 governing Misconduct in Science; they have filed with DHHS compliance offices certification forms governing Civil Rights (441), Handicapped Individuals (641), Sex Discrimination (639-A), and Age Discrimination (680); they are in compliance with PHS policy governing Program Income; they have established policies in compliance with 45 CFR Part 46, Subpart A (protection of human subjects); the Animal Welfare Act (PL-89-544 as amended) and the Health Research Exchange Act of 1985 (Public Law 99-158); and that they are in compliance with NIH guidelines regarding human pluripotent stem cell research, transplantation of fetal tissue, recombinant DNA and human gene transfer research, and inclusion of women, children & minorities in research.

This proposal has been reviewed and approved by the appropriate official(s) of Subrecipient and certified to its accuracy and completeness. The appropriate programmatic and administrative personnel of Subrecipient involved in this application are aware of the prime awarding agency's policies, agree to accept the obligation to comply with award terms, conditions, and certifications, and are prepared to establish the necessary inter-institutional agreement consistent with that policy. Any terms or rates included in the proposal described herein are not binding upon the Pass-Through Entity. All terms and conditions between the parties will be outlined in a separate formal Agreement. Any work performed and/or expenses incurred prior to execution of a subaward agreement are at the Subrecipient's own risk. No monies shall be paid out to the Subrecipient until the subaward agreement is fully executed. **If your institution is NOT a participant in the FDP Expanded Clearinghouse, continue to Page 2.**

SUBRECIPIENT INSTITUTIONAL OFFICIAL:

Name and Title	Signature/Date
1	05/03/2024

Section B. Information on FDP Non-Participant Institution

REQUIRED CERTIFICATIONS

Facilities and Administrative F&A Rate included in this proposal have been calculated based on:

- Federally negotiated F&A Rate of _____% **attach copy or provide URL**
- Sponsor solicitation required rate limitation of _____%
- 10% de minimus rate per Uniform Guidance
- Other rate of _____% **Explain**
- No F&A requested by subrecipient

Fringe Benefits Rate Agreement included in this proposal has been calculated based on:

- Rates consistent with or lower than our federally negotiated rates (**attach copy or provide URL**)
- Other rates - **Explain calculation**

Small Business Concern as defined in 13 CFR 124.1002

- Not applicable**
- Applicable (select below)** If subcontracting \$650,000 or more, submit a subcontracting business plan in sponsor-required format.
 - Small disadvantage business as certified by the Small Business Administration
 - Woman-owned
 - Veteran-owned
 - Service-disabled veteran-owned
 - HUBZone
 - Other (specify)

Certificate of Current Cost or Pricing Data

- Not applicable**
- Applicable:** Provision is required for awards exceeding \$500,000 and where price was not established by adequate price competition by catalog prices or by law. Nonprofit subrecipients are exempt from this certification when the agreement type is cost-reimbursable no-fee.

Conflict of Interest Policy Certification

- Not applicable:** This project is not being funded by flow-through funds from NIH, NSF, DOE, NASA, or other sponsor that has adopted the PHS federal financial disclosure requirements.
- Yes:** Subrecipient certifies that it has an active and enforced Conflict of Interest policy that is consistent with the provision of 42 CFR Part 50, Subpart F “Responsibility of Applicants for Promoting Objectivity in Research.” Subrecipient also certifies that, to the best of its knowledge, (1) all financial disclosures have been made related to the activities that may be funded by or through a resulting agreement, and required by its conflict of interest policy; and (2) all identified conflicts of interest have or will have been satisfactorily managed, reduced, or eliminated in accordance with their Conflict of Interest policy prior to the expenditures of any funds under any resultant agreement.
- No:** Subrecipient does not have an active and enforced conflict of interest policy and agrees to abide by OU’s Conflict of Interest policy.

Responsible Conduct of Research (RCR)

- Not applicable** because this project is not being funded by NIH, USDA, or NSF.
- Yes:** Subrecipient certifies that it has a training program and will train all project personnel in accordance with applicable RCR requirements.
- No:** No training program is in place.

Fiscal Responsibility – Subrecipient certifies that its financial systems are in accordance with generally accepted accounting principles and:

- Has the capacity to identify in its accounts, all Federal awards received and expended and the programs under which they were received
- Maintains internal controls to assure that it manages Federal awards in compliance with applicable laws, regulations, and the provisions of contracts or grants
- Complies with applicable laws and regulations
- Can prepare appropriate financial statements, including the schedule of expenditures of federal awards

SAM.gov Registered

- Yes,** Institution is registered
- No,** institution is not registered

FFATA Executive Compensation Reporting

- Non-Exempt:** In the proceeding fiscal year, subrecipient received A.(i) 80% or more of its annual gross revenues in federal awards AND (ii) \$25,000,000 or more in annual gross from federal awards, AND B. the public does not have access to information about the compensation of the senior executives through periodic reports files under Section 13(a) or 15(d) of the Securities Exchange Act of 1934 (U.S.C. 78m(a), 78(d)) or Section 6104 of the Internal Revenue Code of 1986 (26 U.S.C. 6104).
- Exempt** from reporting compensation

Uniform Guidance Subpart F – Single Audit in accordance with 2 CFR 500

- Receives** an annual audit
- Most recent fiscal year completed _____
- Audit findings reported _____
- Does not receive** annual audit
- Non-profit entity** under federal funding threshold
- Foreign entity**
- For-profit entity**
- Government entity**

SUBRECIPIENT INFORMATION

Federal Employer Identification Number (EIN) _____

Unique Entity Identifier (UEI) _____

Institution Type

<input type="checkbox"/> Alaska Native/Native Hawaiian Serving Institutions	<input type="checkbox"/> Native American Tribal Gov't (Federally Recognized)	<input type="checkbox"/> Public/State Controlled Inst. of Higher Ed.
<input type="checkbox"/> City/Township Government	<input type="checkbox"/> Native American Tribal Gov't (Not Fed. Recognized)	<input type="checkbox"/> Regional Organization
<input type="checkbox"/> County Government	<input type="checkbox"/> Native American Tribally Designated Organization	<input type="checkbox"/> Special District Government
<input type="checkbox"/> Hispanic Serving Institution	<input type="checkbox"/> Nonprofit with 501c3 (other than Higher Ed.)	<input type="checkbox"/> Small Business
<input type="checkbox"/> Historically Black Colleges & Universities	<input type="checkbox"/> Nonprofit without 501c3 (other than Higher Ed.)	<input type="checkbox"/> Tribally Controlled College and Universities
<input type="checkbox"/> For Profit Organization (other than small business)	<input type="checkbox"/> Non-Domestic (non-US) Entity	<input type="checkbox"/> U.S. Territory or Possession
<input type="checkbox"/> Individual	<input type="checkbox"/> Private Institution of Higher Education	<input type="checkbox"/> Other
<input type="checkbox"/> Independent School District	<input type="checkbox"/> Public/Indian Housing Authority	