

Supplementary Information

The University of Oklahoma – Norman Campus

Schedule of Expenditures of Federal Awards

Year Ended June 30, 2021

Cluster Name	Federal Grantor/Pass-Through Grantor	Program or Cluster Title	Direct/ Pass Through	Assistance Listing Number	Award Number	Expenditure	Subrecipient Expenditures	
RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT	Department of Agriculture	AGRICULTURAL RESEARCH – BASIC AND APPLIED RESEARCH	Direct	10 001		\$ 178,354		
	Oklahoma State Univ	AGRICULTURAL RESEARCH – BASIC AND APPLIED RESEARCH	Pass Through	10 001	25614000U2	86,713	\$ 265,067	
	Department of Agriculture	WILDLIFE SERVICES	Direct	10 028			29,542	
	Department of Agriculture	AGRICULTURE AND FOOD RESEARCH INITIATIVE (AFRI)	Direct	10 310		683,147	\$ 135,177	
	New Mexico State Univ	AGRICULTURE AND FOOD RESEARCH INITIATIVE (AFRI)	Pass Through	10 310	Q01998	744	683,891	
	Department of Agriculture	PARTNERSHIP AGREEMENTS	Direct	10 699			11,959	
	Total Department of Agriculture						<u>990,459</u>	<u>135,177</u>
RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT	Department of Commerce	CLUSTER GRANTS	Pass Through	11 020	15756590UHHUB		90,842	
	Oklahoma State Univ	BIPARTISAN BUDGET ACT OF 2018	Direct	11 022			2,012,571	
	Department of Commerce	CLIMATE AND ATMOSPHERIC RESEARCH	Direct	11 431			786,686	
	Department of Commerce	NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION (NOAA) COOPERATIVE INSTITUTES	Direct	11 432			21,560,417	
	Department of Commerce	WEATHER AND AIR QUALITY RESEARCH	Direct	11 459			3,375,751	
	Department of Commerce	APPLIED METEOROLOGICAL RESEARCH	Direct	11 468			151,712	
	Department of Commerce	CENTER FOR SPONSORED COASTAL OCEAN RESEARCH – COASTAL OCEAN PROGRAM	Direct	11 478			61,114	
	Department of Commerce	MEASUREMENT AND ENGINEERING RESEARCH AND STANDARDS	Direct	11 609			267,902	
	Colorado State Univ	MEASUREMENT AND ENGINEERING RESEARCH AND STANDARDS	Pass Through	11 609	G9904211	181,003	448,905	
	Total Department of Commerce						<u>28,487,998</u>	<u>2,941,147</u>
	RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT	Department of Defense	BASIC AND APPLIED SCIENTIFIC RESEARCH	Direct	12 300			4,110,314
Department of Defense		BASIC SCIENTIFIC RESEARCH – COMBATING WEAPONS OF MASS DESTRUCTION	Direct	12 351		(2,395)		
Univ of North Carolina Chapel Hill		BASIC SCIENTIFIC RESEARCH – COMBATING WEAPONS OF MASS DESTRUCTION	Pass Through	12 351	5113071	51,955	49,560	
Department of Defense		MILITARY MEDICAL RESEARCH AND DEVELOPMENT	Direct	12 420			438,311	
Department of Defense		BASIC SCIENTIFIC RESEARCH	Direct	12 431			421,912	
Department of Defense		BASIC, APPLIED, AND ADVANCED RESEARCH IN SCIENCE AND ENGINEERING	Direct	12 630			36,824	
Department of Defense		AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	Direct	12 800			441,473	
Department of Defense		RESEARCH AND TECHNOLOGY DEVELOPMENT	Direct	12 910			273,598	
Texas A&M Univ		RESEARCH AND TECHNOLOGY DEVELOPMENT	Pass Through	12 910	M1901609	307,944	581,542	
Department of Defense		DEPARTMENT OF DEFENSE RESEARCH	Direct	12 RD	W91XWH1410228		69,955	
Total Department of Defense							<u>6,149,891</u>	<u>473,853</u>
RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT RESEARCH AND DEVELOPMENT	Department of the Interior	COOPERATIVE LANDSCAPE CONSERVATION	Direct	15 156			32,718	
	Department of the Interior	SCIENCE AND TECHNOLOGY PROJECTS RELATED TO COAL MINING AND RECLAMATION	Direct	15 255			6,548	
	Department of the Interior	CULTURAL RESOURCES MANAGEMENT	Direct	15 511			30,860	
	OK Wildlife Conservation Comm	SPORT FISH RESTORATION PROGRAM	Pass Through	15 605	3209007418		84,583	
	Department of the Interior	COOPERATIVE ENDANGERED SPECIES CONSERVATION FUND	Direct	15 615			2,630	
	OK Wildlife Conservation Comm	COOPERATIVE ENDANGERED SPECIES CONSERVATION FUND	Pass Through	15 615	3209007869		4,780	
	OK Wildlife Conservation Comm	COOPERATIVE ENDANGERED SPECIES CONSERVATION FUND	Pass Through	15 615	F21AP0005294R1		3,391	
	OK Wildlife Conservation Comm	COOPERATIVE ENDANGERED SPECIES CONSERVATION FUND	Pass Through	15 615	F21AP00067E93R1		2,034	
	OK Wildlife Conservation Comm	STATE WILDLIFE GRANTS	Pass Through	15 634	3209006377		11,205	
	OK Wildlife Conservation Comm	STATE WILDLIFE GRANTS	Pass Through	15 634	3209006966		2,715	
	OK Wildlife Conservation Comm	STATE WILDLIFE GRANTS	Pass Through	15 634	3209007339		802	
	OK Wildlife Conservation Comm	STATE WILDLIFE GRANTS	Pass Through	15 634	3209007340		40,086	
	OK Wildlife Conservation Comm	STATE WILDLIFE GRANTS	Pass Through	15 634	F16AF01211T96R1		10,226	
	OK Wildlife Conservation Comm	STATE WILDLIFE GRANTS	Pass Through	15 634	F18AF00919		4,513	
	OK Wildlife Conservation Comm	STATE WILDLIFE GRANTS	Pass Through	15 634	F19AF001212T112R1		653	
	OK Wildlife Conservation Comm	STATE WILDLIFE GRANTS	Pass Through	15 634	F19AF00247T111R1		25,931	
	Nat Fish & Wildlife Foundation	NFWF-USFWS CONSERVATION PARTNERSHIP	Pass Through	15 663	120119066605		87,238	
	Oklahoma State Univ	ASSISTANCE TO STATE WATER RESOURCES RESEARCH INSTITUTES	Pass Through	15 805	25618600U1		2,329	
	Oklahoma State Univ	ASSISTANCE TO STATE WATER RESOURCES RESEARCH INSTITUTES	Pass Through	15 805	25618600U2		10,964	
	Department of the Interior	NATIONAL COOPERATIVE GEOLOGIC MAPPING PROGRAM	Pass Through	15 805	25699500U1		6,591	
	Department of the Interior	NATIONAL CLIMATE CHANGE AND WILDLIFE SCIENCE CENTER	Direct	15 820			1,251,849	
	Univ of Hawaii	NATIONAL CLIMATE CHANGE AND WILDLIFE SCIENCE CENTER	Pass Through	15 820	MA1376		2,973	
	OK Historical Society	HISTORIC PRESERVATION FUND GRANTS-IN-AID	Pass Through	15 904	19101		7,297	
	OK Historical Society	HISTORIC PRESERVATION FUND GRANTS-IN-AID	Pass Through	15 904	20101		93,123	
	OK Historical Society	HISTORIC PRESERVATION FUND GRANTS-IN-AID	Pass Through	15 904	20401		21,853	
	OK Historical Society	HISTORIC PRESERVATION FUND GRANTS-IN-AID	Pass Through	15 904	20403		27,165	
	OK Historical Society	NATIONAL REGISTER OF HISTORIC PLACES	Pass Through	15 914	20402		149,438	
Total Department of the Interior						<u>1,967,970</u>	<u>883,782</u>	

The accompanying notes are an integral part of this Schedule.

The University of Oklahoma – Norman Campus

Schedule of Expenditures of Federal Awards

Year Ended June 30, 2021

Cluster Name	Federal Grantor/Pass-Through Grantor	Program or Cluster Title	Direct/ Pass Through	Assistance Listing Number	Award Number	Expenditure	Subrecipient Expenditures
RESEARCH AND DEVELOPMENT	Department of Justice	NATIONAL INSTITUTE OF JUSTICE RESEARCH, EVALUATION, AND DEVELOPMENT PROJECT GRANTS	Direct	16 560			338,572
RESEARCH AND DEVELOPMENT	Tulsa Family Safety Ctr	CRIME VICTIM ASSISTANCE/DISCRETIONARY GRANTS	Pass Through	16 582	FY17ORA227		41,023
RESEARCH AND DEVELOPMENT	OK Dept of Corrections	SECOND CHANCE ACT REENTRY INITIATIVE	Pass Through	16 812	NASRAFY19ORA411	49,645	
RESEARCH AND DEVELOPMENT	Resonance Ctr for Women	SECOND CHANCE ACT REENTRY INITIATIVE	Pass Through	16 812	SRAFY19ORA442	6,715	56,360
	Total Department of Justice						<u>435,955</u>
	Department of Transportation						
RESEARCH AND DEVELOPMENT	Department of Transportation	AVIATION RESEARCH GRANTS	Direct	20 108			57,678
RESEARCH AND DEVELOPMENT	Department of Transportation	AIR TRANSPORTATION CENTERS OF EXCELLENCE	Direct	20 109			160,382
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	Pass Through	20 200	SPR2310	60,617	
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	Pass Through	20 200	1915	11,673	
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	Pass Through	20 200	21601801	(4)	
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	Pass Through	20 200	21602101	265	
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	Pass Through	20 200	21602102	30,191	
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	Pass Through	20 200	21602103J0194678	12,644	
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	Pass Through	20 200	21602105J0194678	222	
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	Pass Through	20 200	21602106J0194678	1,235	
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	Pass Through	20 200	21602107J0194678	16,622	
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	Pass Through	20 200	2307	49,221	
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	Pass Through	20 200	SPR2160B	107,444	
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	Pass Through	20 200	SPR2276	3,969	
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	Pass Through	20 200	SPR2284	32,161	
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	Pass Through	20 200	SPR2307	56,767	
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	Pass Through	20 200	SPR2308	73,092	32,017
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	Pass Through	20 200	SPR2308SPRY0010084	88,111	39,927
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	Pass Through	20 200	SPR2310	56,888	
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	Pass Through	20 200	SPR2311	83,666	
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	Pass Through	20 200	SPR2313J0194678	33,602	
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	Pass Through	20 200	SPRY0010082R8S101946	90,036	808,422
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	HIGHWAY PLANNING AND CONSTRUCTION	Pass Through	20 205	SPR1916		101,898
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	FORMULA GRANTS FOR RURAL AREAS AND TRIBAL TRANSIT PROGRAM	Pass Through	20 509	FTAS311TP20399	106,809	
RESEARCH AND DEVELOPMENT	OK Dept of Transportation	FORMULA GRANTS FOR RURAL AREAS AND TRIBAL TRANSIT PROGRAM	Pass Through	20 509	FTAS311TP21423	118,114	224,923
RESEARCH AND DEVELOPMENT	Florida Intl Univ	UNIVERSITY TRANSPORTATION CENTERS PROGRAM	Pass Through	20 701	000021	86,099	
RESEARCH AND DEVELOPMENT	Florida Intl Univ	UNIVERSITY TRANSPORTATION CENTERS PROGRAM	Pass Through	20 701	8000734901UG	29,404	
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	UNIVERSITY TRANSPORTATION CENTERS PROGRAM	Pass Through	20 701	21602008	7,310	122,813
	Total Department of Transportation						<u>1,476,116</u>
	Department of the Treasury						
RESEARCH AND DEVELOPMENT	OK Medical Research Foundation	COVID-19 CORONAVIRUS RELIEF FUND	Pass Through	21 019	CARESSTEVENSONOU		389,499
	Total Department of the Treasury						<u>389,499</u>
	Library of Congress						
RESEARCH AND DEVELOPMENT	Illinois State Univ	LIBRARY OF CONGRESS PUBLICATIONS	Pass Through	42 RD	A0800025041		2,740
	Total Library of Congress						<u>2,740</u>
	National Aeronautics and Space Administration						
RESEARCH AND DEVELOPMENT	National Aeronautics and Space Administration	SCIENCE	Direct	43 001		2,264,140	95,038
RESEARCH AND DEVELOPMENT	Columbia Univ	SCIENCE	Pass Through	43 001	2GG013218	28,066	
RESEARCH AND DEVELOPMENT	Jet Propulsion Lab	SCIENCE	Pass Through	43 001	1604823	18,165	
RESEARCH AND DEVELOPMENT	SETI Institute	SCIENCE	Pass Through	43 001	SUBAWARDNOSC3490	12,381	
RESEARCH AND DEVELOPMENT	Smithsonian Astrophysical Observatory	SCIENCE	Pass Through	43 001	G0021004A	21,712	
RESEARCH AND DEVELOPMENT	Space Telescope Science Inst	SCIENCE	Pass Through	43 001	HSTAR15035001A	42,964	
RESEARCH AND DEVELOPMENT	Space Telescope Science Inst	SCIENCE	Pass Through	43 001	HSTGO14693001A	6,820	
RESEARCH AND DEVELOPMENT	Space Telescope Science Inst	SCIENCE	Pass Through	43 001	HSTGO15219001A	23,639	
RESEARCH AND DEVELOPMENT	Space Telescope Science Inst	SCIENCE	Pass Through	43 001	HSTGO15437004A	35,707	
RESEARCH AND DEVELOPMENT	Texas A&M Univ	SCIENCE	Pass Through	43 001	M2001631	9,940	
RESEARCH AND DEVELOPMENT	Univ of Southern Cal	SCIENCE	Pass Through	43 001	119602975	60,607	2,523,241
RESEARCH AND DEVELOPMENT	National Aeronautics and Space Administration	AERONAUTICS	Direct	43 002			55,032
RESEARCH AND DEVELOPMENT	National Aeronautics and Space Administration	EDUCATION	Direct	43 008		30,207	
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	EDUCATION	Pass Through	43 008	1501621OU	32,180	
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	EDUCATION	Pass Through	43 008	15733090U	117,904	21,774
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	EDUCATION	Pass Through	43 008	15733190U2	3,526	
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	EDUCATION	Pass Through	43 008	157795002RIG	27,587	
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	EDUCATION	Pass Through	43 008	157795003RIG	6,423	
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	EDUCATION	Pass Through	43 008	15779600U	257,595	134,064
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	EDUCATION	Pass Through	43 008	15783300U	20,468	8,343
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	EDUCATION	Pass Through	43 008	192500U	6,138	502,028
	Total National Aeronautics and Space Administration						<u>3,080,301</u>

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The University of Oklahoma – Norman Campus

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Year Ended June 30, 2021

Cluster Name	Federal Grantor/Pass-Through Grantor	Program or Cluster Title	Direct/ Pass Through	Assistance Listing Number	Award Number	Expenditure	Subrecipient Expenditures
RESEARCH AND DEVELOPMENT	National Endowment for the Humanities	PROMOTION OF THE HUMANITIES – FEDERAL/STATE PARTNERSHIP	Pass Through	45 129	Y20075	1,395	
RESEARCH AND DEVELOPMENT	OK Humanities Council	PROMOTION OF THE HUMANITIES – FEDERAL/STATE PARTNERSHIP	Pass Through	45 129	Y20039	229	1,624
	Total National Endowment for the Humanities					<u>1,624</u>	-
RESEARCH AND DEVELOPMENT	Institute of Museum and Library Services	MUSEUMS FOR AMERICA	Direct	45 301		40,466	-
	Total Institute of Museum and Library Services					<u>40,466</u>	-
RESEARCH AND DEVELOPMENT	National Science Foundation	ENGINEERING	Direct	47 041		1,794,425	135
RESEARCH AND DEVELOPMENT	National Science Foundation	MATHEMATICAL AND PHYSICAL SCIENCES	Direct	47 049		52,729	19,614
RESEARCH AND DEVELOPMENT	Univ of TX Arlington	MATHEMATICAL AND PHYSICAL SCIENCES	Pass Through	47 049	126510013061	3,508,872	678,374
RESEARCH AND DEVELOPMENT	National Science Foundation	GEOSCIENCES	Direct	47 050		9,845	
RESEARCH AND DEVELOPMENT	Louisiana State Univ	GEOSCIENCES	Pass Through	47 050	PO0000150383	60,129	31,317
RESEARCH AND DEVELOPMENT	Univ of CA Santa Cruz	GEOSCIENCES	Pass Through	47 050	A180296S001P0668738	31,335	3,610,181
RESEARCH AND DEVELOPMENT	Univ of Southern Cal	GEOSCIENCES	Pass Through	47 050	131486099	850,285	
RESEARCH AND DEVELOPMENT	National Science Foundation	COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	Direct	47 070		131,490	
RESEARCH AND DEVELOPMENT	National Science Foundation	COVID-19 COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	Direct	47 070	COVID-19	24,739	
RESEARCH AND DEVELOPMENT	Univ of Kentucky	COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	Pass Through	47 070	3.2E+14	76,364	
RESEARCH AND DEVELOPMENT	Univ of IL Urbana – Champaign	COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	Pass Through	47 070	0834216121	9,967	1,092,845
RESEARCH AND DEVELOPMENT	Univ of Missouri	COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	Pass Through	47 070	C000662833	1,977,132	142,364
RESEARCH AND DEVELOPMENT	National Science Foundation	BIOLOGICAL SCIENCES	Direct	47 074		80,981	
RESEARCH AND DEVELOPMENT	Michigan State Univ	BIOLOGICAL SCIENCES	Pass Through	47 074	RC107432B	11,931	
RESEARCH AND DEVELOPMENT	Univ of CA Davis	BIOLOGICAL SCIENCES	Pass Through	47 074	201503777001	89,647	
RESEARCH AND DEVELOPMENT	Univ of CA Los Angeles	BIOLOGICAL SCIENCES	Pass Through	47 074	2301GX8402	431	
RESEARCH AND DEVELOPMENT	Univ of Tennessee	BIOLOGICAL SCIENCES	Pass Through	47 074	A2002365001	3,912	2,164,034
RESEARCH AND DEVELOPMENT	Yale Univ	BIOLOGICAL SCIENCES	Pass Through	47 074	GR103378	393,628	
RESEARCH AND DEVELOPMENT	National Science Foundation	SOCIAL, BEHAVIORAL, AND ECONOMIC SCIENCES	Direct	47 075		788,597	47,082
RESEARCH AND DEVELOPMENT	National Science Foundation	EDUCATION AND HUMAN RESOURCES	Direct	47 076		246,130	
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	EDUCATION AND HUMAN RESOURCES	Pass Through	47 076	1569178	34,120	
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	EDUCATION AND HUMAN RESOURCES	Pass Through	47 076	15785300U	6,867	
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	EDUCATION AND HUMAN RESOURCES	Pass Through	47 076	AA5565950U	8,195	
RESEARCH AND DEVELOPMENT	Univ of Buffalo	EDUCATION AND HUMAN RESOURCES	Pass Through	47 076	R1239043	4,266	
RESEARCH AND DEVELOPMENT	Univ of Maryland Baltimore Co	EDUCATION AND HUMAN RESOURCES	Pass Through	47 076	NSF0009903	29,146	1,117,321
RESEARCH AND DEVELOPMENT	Univ of Southern Cal	EDUCATION AND HUMAN RESOURCES	Pass Through	47 076	109234399	21,976	
RESEARCH AND DEVELOPMENT	National Science Foundation	OFFICE OF INTERNATIONAL SCIENCE AND ENGINEERING	Direct	47 079		169,764	191,740
RESEARCH AND DEVELOPMENT	Univ of Rhode Island	OFFICE OF INTERNATIONAL SCIENCE AND ENGINEERING	Pass Through	47 079	49781305	244,374	
RESEARCH AND DEVELOPMENT	National Science Foundation	INTEGRATIVE ACTIVITIES	Direct	47 083		17,408	
RESEARCH AND DEVELOPMENT	North Carolina State Univ	INTEGRATIVE ACTIVITIES	Pass Through	47 083	2019299102	58,407	
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	INTEGRATIVE ACTIVITIES	Pass Through	47 083	EPSCOR201319	94,552	
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	INTEGRATIVE ACTIVITIES	Pass Through	47 083	EPSCOR20201	120,359	
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	INTEGRATIVE ACTIVITIES	Pass Through	47 083	EPSCOR20202	91,099	
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	INTEGRATIVE ACTIVITIES	Pass Through	47 083	EPSCOR20203	379,082	
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	INTEGRATIVE ACTIVITIES	Pass Through	47 083	EPSCOR20204	182,814	
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	INTEGRATIVE ACTIVITIES	Pass Through	47 083	EPSCOR20205	20,241	
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	INTEGRATIVE ACTIVITIES	Pass Through	47 083	EPSCOR20203	216,953	
RESEARCH AND DEVELOPMENT	South Dakota School of Mines & Tech	INTEGRATIVE ACTIVITIES	Pass Through	47 083	SDSMTL1OK1805	33,911	
RESEARCH AND DEVELOPMENT	Univ of Connecticut	INTEGRATIVE ACTIVITIES	Pass Through	47 083	115706	142,195	
RESEARCH AND DEVELOPMENT	Univ of Kansas	INTEGRATIVE ACTIVITIES	Pass Through	47 083	FY2020023	57,646	
RESEARCH AND DEVELOPMENT	Univ of Kansas	INTEGRATIVE ACTIVITIES	Pass Through	47 083	FY202123	9,997	1,669,038
RESEARCH AND DEVELOPMENT	Univ of Maryland Baltimore Co	INTEGRATIVE ACTIVITIES	Pass Through	47 083	9019723582202	<u>13,347,395</u>	<u>918,886</u>
	Total National Science Foundation						
RESEARCH AND DEVELOPMENT	Environmental Protection Agency	BROWNFIELDS TRAINING, RESEARCH, AND TECHNICAL ASSISTANCE GRANTS AND COOPERATIVE AGREEMENTS	Pass Through	66 814	A0003925007	12,801	
RESEARCH AND DEVELOPMENT	Kansas State Univ	BROWNFIELDS TRAINING, RESEARCH, AND TECHNICAL ASSISTANCE GRANTS AND COOPERATIVE AGREEMENTS	Pass Through	66 951	42897	6,195	
	Grand River Dam Authority	ENVIRONMENTAL EDUCATION GRANTS	Pass Through	66 951		<u>18,996</u>	-
	Total Environmental Protection Agency						
RESEARCH AND DEVELOPMENT	Department of Energy	OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	Direct	81 049		1,630,269	154,968
RESEARCH AND DEVELOPMENT	Department of Energy	OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	Pass Through	81 049	SUBAWD000397P00004585	115,652	
RESEARCH AND DEVELOPMENT	Univ Corp for Atmospheric Research	OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	Pass Through	81 049	SUBAWD002201	85,182	
RESEARCH AND DEVELOPMENT	Univ Corp for Atmospheric Research	OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	Pass Through	81 049		605,576	
RESEARCH AND DEVELOPMENT	Univ of CA Berkeley	OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	Pass Through	81 049	00009014	94	
RESEARCH AND DEVELOPMENT	Univ of CA Berkeley	OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	Pass Through	81 049	00009292		

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The University of Oklahoma – Norman Campus

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Year Ended June 30, 2021

Cluster Name	Federal Grantor/Pass-Through Grantor	Program or Cluster Title	Direct/ Pass Through	Assistance Listing Number	Award Number	Expenditure	Subrecipient Expenditures
RESEARCH AND DEVELOPMENT	Univ of CA Berkeley	OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	Pass Through	81 049	00010210	29,081	
RESEARCH AND DEVELOPMENT	Univ of TX Austin	OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	Pass Through	81 049	UTA15001188	38,640	
RESEARCH AND DEVELOPMENT	Univ of Washington	OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	Pass Through	81 049	UWSC11417	102,679	2,607,273
RESEARCH AND DEVELOPMENT	Department of Energy	CONSERVATION RESEARCH AND DEVELOPMENT	Direct	81 086		121,862	15,068
RESEARCH AND DEVELOPMENT	Univ of Miami FL	CONSERVATION RESEARCH AND DEVELOPMENT	Pass Through	81 086	SPC00189	3,448	125,310
RESEARCH AND DEVELOPMENT	Department of Energy	RENEWABLE ENERGY RESEARCH AND DEVELOPMENT	Direct	81 087		1,568,156	609,042
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	RENEWABLE ENERGY RESEARCH AND DEVELOPMENT	Pass Through	81 087	15760590U	151,725	
RESEARCH AND DEVELOPMENT	Univ of CA Berkeley	RENEWABLE ENERGY RESEARCH AND DEVELOPMENT	Pass Through	81 087	00010084	30,137	1,750,018
RESEARCH AND DEVELOPMENT	Department of Energy	FOSSIL ENERGY RESEARCH AND DEVELOPMENT	Direct	81 089		394,673	
RESEARCH AND DEVELOPMENT	Clemson Univ Research Foundation	FOSSIL ENERGY RESEARCH AND DEVELOPMENT	Pass Through	81 089	21742192023596	110,547	
RESEARCH AND DEVELOPMENT	Electric Power Research Inst	FOSSIL ENERGY RESEARCH AND DEVELOPMENT	Pass Through	81 089	10010325	71,218	
RESEARCH AND DEVELOPMENT	New Mexico Inst of Mining & Tech	FOSSIL ENERGY RESEARCH AND DEVELOPMENT	Pass Through	81 089	P0019566	113,318	
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	FOSSIL ENERGY RESEARCH AND DEVELOPMENT	Pass Through	81 089	15791400U	235,536	
RESEARCH AND DEVELOPMENT	Univ of LA Lafayette	FOSSIL ENERGY RESEARCH AND DEVELOPMENT	Pass Through	81 089	33013502	79,143	
RESEARCH AND DEVELOPMENT	Univ of TX Austin	FOSSIL ENERGY RESEARCH AND DEVELOPMENT	Pass Through	81 089	UTA18000014	39,332	1,043,767
RESEARCH AND DEVELOPMENT	Department of Energy	NUCLEAR ENERGY RESEARCH, DEVELOPMENT AND DEMONSTRATION	Direct	81 121			355,001
RESEARCH AND DEVELOPMENT	Department of Energy	ADVANCED RESEARCH PROJECTS AGENCY – ENERGY	Direct	81 135			176,869
RESEARCH AND DEVELOPMENT	Department of Energy	DEPARTMENT OF ENERGY CONTRACT	Direct	81 000	7346804	1,417	
RESEARCH AND DEVELOPMENT	Univ of CA Berkeley	DEPARTMENT OF ENERGY CONTRACT	Pass Through	81 000	7499082	855,515	856,932
	Total Department of Energy					6,915,170	941,054
	Department of Education						
RESEARCH AND DEVELOPMENT	Univ of Kansas	EDUCATION RESEARCH, DEVELOPMENT AND DISSEMINATION	Pass Through	84 305	FY2020041		6,674
RESEARCH AND DEVELOPMENT	Department of Education	RESEARCH IN SPECIAL EDUCATION	Direct	84 324			109,355
RESEARCH AND DEVELOPMENT	Department of Education	GAINING EARLY AWARENESS AND READINESS FOR UNDERGRADUATE PROGRAMS	Direct	84 334			9,049,577
	Total Department of Education					9,246,523	724,046
	Department of Health and Human Services						
RESEARCH AND DEVELOPMENT	Department of Health and Human Services	FAMILY SMOKING PREVENTION AND TOBACCO CONTROL ACT REGULATORY RESEARCH	Direct	93 077		3,120	
RESEARCH AND DEVELOPMENT	Georgetown Univ	FAMILY SMOKING PREVENTION AND TOBACCO CONTROL ACT REGULATORY RESEARCH	Pass Through	93 077	412981GR412964UO	6,187	
RESEARCH AND DEVELOPMENT	Ohio State Univ	FAMILY SMOKING PREVENTION AND TOBACCO CONTROL ACT REGULATORY RESEARCH	Pass Through	93 077	GR120805SPC1000004702	84,782	94,089
RESEARCH AND DEVELOPMENT	Univ of OK Health Science Ctr	MATERNAL AND CHILD HEALTH FEDERAL CONSOLIDATED PROGRAMS	Pass Through	93 110	RM2016133904		9,449
RESEARCH AND DEVELOPMENT	Department of Health and Human Services	ENVIRONMENTAL HEALTH	Direct	93 113		48,912	16,504
RESEARCH AND DEVELOPMENT	Department of Health and Human Services	HUMAN GENOME RESEARCH	Direct	93 172			359,170
RESEARCH AND DEVELOPMENT	Department of Health and Human Services	RESEARCH RELATED TO DEAFNESS AND COMMUNICATION DISORDERS	Direct	93 173			318,653
RESEARCH AND DEVELOPMENT	Department of Health and Human Services	DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	Direct	93 279			96,748
RESEARCH AND DEVELOPMENT	Department of Health and Human Services	DISCOVERY AND APPLIED RESEARCH FOR TECHNOLOGICAL INNOVATIONS TO IMPROVE HUMAN HEALTH	Direct	93 286			246,938
RESEARCH AND DEVELOPMENT	Department of Health and Human Services	CANCER CAUSE AND PREVENTION RESEARCH	Direct	93 393		245,888	29,201
RESEARCH AND DEVELOPMENT	Univ of OK Health Science Ctr	CANCER CAUSE AND PREVENTION RESEARCH	Pass Through	93 393	RM2018069904	2,500	248,388
RESEARCH AND DEVELOPMENT	Department of Health and Human Services	CANCER DETECTION AND DIAGNOSIS RESEARCH	Direct	93 394			1,285,939
RESEARCH AND DEVELOPMENT	Univ of TX Health Sciences Ctr	CANCER BIOLOGY RESEARCH	Pass Through	93 396	163561163560		427,484
RESEARCH AND DEVELOPMENT	Univ of OK Health Science Ctr	CANCER CENTERS SUPPORT GRANTS	Pass Through	93 397	RM2017177615	(11,802)	
RESEARCH AND DEVELOPMENT	Univ of OK Health Science Ctr	CANCER CENTERS SUPPORT GRANTS	Pass Through	93 397	RM2017177624	(12,692)	
RESEARCH AND DEVELOPMENT	Univ of OK Health Science Ctr	CANCER CENTERS SUPPORT GRANTS	Pass Through	93 397	RM2017177641	8,914	
RESEARCH AND DEVELOPMENT	Univ of OK Health Science Ctr	CANCER CENTERS SUPPORT GRANTS	Pass Through	93 397	RM2017177604	28	
RESEARCH AND DEVELOPMENT	Univ of OK Health Science Ctr	CANCER CENTERS SUPPORT GRANTS	Pass Through	93 397	RM2017177627	11,452	
RESEARCH AND DEVELOPMENT	Univ of OK Health Science Ctr	CANCER CENTERS SUPPORT GRANTS	Pass Through	93 397	RM2017177628	19,166	
RESEARCH AND DEVELOPMENT	Univ of OK Health Science Ctr	CANCER CENTERS SUPPORT GRANTS	Pass Through	93 397	RM2017177630	13,084	
RESEARCH AND DEVELOPMENT	Univ of OK Health Science Ctr	CANCER CENTERS SUPPORT GRANTS	Pass Through	93 397	RM2017177631	20,626	
RESEARCH AND DEVELOPMENT	Univ of OK Health Science Ctr	CANCER CENTERS SUPPORT GRANTS	Pass Through	93 397	RM2017177632	6,531	
RESEARCH AND DEVELOPMENT	Univ of OK Health Science Ctr	CANCER CENTERS SUPPORT GRANTS	Pass Through	93 397	RM2017177633	26,130	
RESEARCH AND DEVELOPMENT	Univ of OK Health Science Ctr	CANCER CENTERS SUPPORT GRANTS	Pass Through	93 397	RM2017177635	13,415	
RESEARCH AND DEVELOPMENT	Univ of OK Health Science Ctr	CANCER CENTERS SUPPORT GRANTS	Pass Through	93 397	RM2017177640	5,440	100,292
RESEARCH AND DEVELOPMENT	Department of Health and Human Services	CANCER RESEARCH MANPOWER	Direct	93 398		66,980	
RESEARCH AND DEVELOPMENT	Univ of Denver	FOSTER CARE – TITLE IV-E	Pass Through	93 658	SC3794105	98,465	
RESEARCH AND DEVELOPMENT	Univ of Denver	FOSTER CARE – TITLE IV-E	Pass Through	93 658	SC379410500P0167607	49,011	147,476
RESEARCH AND DEVELOPMENT	Department of Health and Human Services	ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH	Direct	93 855		2,308,238	
RESEARCH AND DEVELOPMENT	Colorado State Univ	ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH	Pass Through	93 855	G641112	18,013	609,929
RESEARCH AND DEVELOPMENT	Colorado State Univ	ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH	Pass Through	93 855	G6411202	158,232	
RESEARCH AND DEVELOPMENT	Memorial Sloan Kettering Cancer Ctr	ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH	Pass Through	93 855	BD523881B	508,826	
RESEARCH AND DEVELOPMENT	Memorial Sloan Kettering Cancer Ctr	ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH	Pass Through	93 855	BD523881	(8,961)	
RESEARCH AND DEVELOPMENT	Memorial Sloan Kettering Cancer Ctr	ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH	Pass Through	93 855	BD523881A	133,970	
RESEARCH AND DEVELOPMENT	OK Medical Research Foundation	ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH	Pass Through	93 855	017016032OUWU	150,832	
RESEARCH AND DEVELOPMENT	Univ of Central Florida	ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH	Pass Through	93 855	2220610001	54,419	
RESEARCH AND DEVELOPMENT	Univ of Central Florida	ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH	Pass Through	93 855	22206A07	199,928	3,523,247

The accompanying notes are an integral part of this Schedule.

The University of Oklahoma – Norman Campus

Schedule of Expenditures of Federal Awards

Year Ended June 30, 2021

Cluster Name	Federal Grantor/Pass-Through Grantor	Program or Cluster Title	Direct/ Pass Through	Assistance Listing Number	Award Number	Expenditure	Subrecipient Expenditures
RESEARCH AND DEVELOPMENT	Department of Health and Human Services	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Direct	93 859		2,429,504	694,085
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass Through	93 859	BOURNE	19,442	
RESEARCH AND DEVELOPMENT	Oklahoma State Univ	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass Through	93 859	RAJAN	21,169	
RESEARCH AND DEVELOPMENT	Univ of OK Health Science Ctr	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass Through	93 859	AGR10022019	907	
RESEARCH AND DEVELOPMENT	Univ of OK Health Science Ctr	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass Through	93 859	RM2018047628	297	
RESEARCH AND DEVELOPMENT	Univ of OK Health Science Ctr	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass Through	93 859	RM2018047641	13,694	
RESEARCH AND DEVELOPMENT	Univ of OK Health Science Ctr	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass Through	93 859	5P20GM10909705	11,275	
RESEARCH AND DEVELOPMENT	Univ of OK Health Science Ctr	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass Through	93 859	RM2018047642	124,247	
RESEARCH AND DEVELOPMENT	Univ of OK Health Science Ctr	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass Through	93 859	RM2018047644	35,584	
RESEARCH AND DEVELOPMENT	Univ of OK Health Science Ctr	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass Through	93 859	RM2018047665	12,436	
RESEARCH AND DEVELOPMENT	Univ of OK Health Science Ctr	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass Through	93 859	RM2018158548	2,664	
RESEARCH AND DEVELOPMENT	Univ of Arkansas	BIOMEDICAL RESEARCH AND RESEARCH TRAINING	Pass Through	93 859	SA1701154	96,964	2,768,183
RESEARCH AND DEVELOPMENT	Children's Hospital of Philadelphia	CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	Pass Through	93 865	PO20176816	168,755	
RESEARCH AND DEVELOPMENT	Georgetown Univ	CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	Pass Through	93 865	AWD777342GR205992	218,564	
RESEARCH AND DEVELOPMENT	Northwestern Univ	CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	Pass Through	93 865	60053811UO	87,354	474,673
RESEARCH AND DEVELOPMENT	Univ of Southern Miss	AGING RESEARCH	Pass Through	93 866	USM800613003	7,184	
RESEARCH AND DEVELOPMENT	Department of Health and Human Services	VISION RESEARCH	Direct	93 867		270,019	147,082
RESEARCH AND DEVELOPMENT	Excitant Therapeutics	VISION RESEARCH	Pass Through	93 867	FY20ORA111	9,814	
	Total Department of Health and Human Services					<u>279,833</u>	<u>147,082</u>
						10,634,303	2,334,117
	Department of Homeland Security						
RESEARCH AND DEVELOPMENT	OK Dept of Emergency Management	HAZARD MITIGATION GRANT	Pass Through	97 039	431500300K	8,647	
RESEARCH AND DEVELOPMENT	Univ of Omaha	CENTERS FOR HOMELAND SECURITY	Pass Through	97 061	4401081001404	195,267	
	Total Department of Homeland Security					<u>203,914</u>	
	Total Research and Development Cluster					83,389,320	9,683,225
	Department of Commerce						
N/A	Department of Commerce	ECONOMIC DEVELOPMENT TECHNICAL ASSISTANCE	Direct	11 303		146,017	
	Total Department of Commerce					<u>146,017</u>	
	Department of Defense						
N/A	Institute of Intl Education	THE LANGUAGE FLAGSHIP GRANTS TO INSTITUTIONS OF HIGHER EDUCATION	Pass Through	12 550	0054OU6SSA280P06	2,890	
N/A	Department of Defense	CENTERS FOR ACADEMIC EXCELLENCE	Direct	12 598		291,693	44,536
	Total Department of Defense					<u>294,583</u>	<u>44,536</u>
	Department of the Interior						
N/A	Department of the Interior	CULTURAL RESOURCES MANAGEMENT	Direct	15 511		23,981	
N/A	Department of the Interior	NATIONAL GEOLOGICAL AND GEOPHYSICAL DATA PRESERVATION PROGRAM	Direct	15 814		43,508	
N/A	Department of the Interior	NATIONAL CLIMATE CHANGE AND WILDLIFE SCIENCE CENTER	Direct	15 820		85,777	17,687
N/A	Department of the Interior	NATIVE AMERICAN GRAVES PROTECTION AND REPATRIATION ACT	Direct	15 922		77,272	
	Total Department of the Interior					<u>230,538</u>	<u>17,687</u>
	Department of State						
N/A	Department of State	PROFESSIONAL AND CULTURAL EXCHANGE PROGRAMS – CITIZEN EXCHANGES	Direct	19 415		171,512	
	Total Department of State					<u>171,512</u>	
	Department of Transportation						
N/A	Department of Transportation	AIRPORT IMPROVEMENT PROGRAM	Direct	20 106		3,842,940	
N/A	OK Dept of Transportation	AIRPORT IMPROVEMENT PROGRAM	Pass Through	20 106	2161	(59)	3,842,881
N/A	Department of Transportation	AIR TRANSPORTATION CENTERS OF EXCELLENCE	Direct	20 109		226,554	
N/A	OK Dept of Transportation	HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	Pass Through	20 200	2161SPRY0010084RS	107,822	
N/A	OK Dept of Transportation	HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM	Pass Through	20 200	SPR2161	60,315	168,137
	Total Department of Transportation					<u>4,237,572</u>	
	Department of the Treasury						
N/A	OK Arts Council	COVID-19 CORONAVIRUS RELIEF FUND	Pass Through	21 019	6195	32,394	
	Total Department of the Treasury					<u>32,394</u>	
	National Aeronautics and Space Administration						
N/A	National Aeronautics and Space Administration	EDUCATION	Direct	43 008		371,985	240,081
	Total National Aeronautics and Space Administration					<u>371,985</u>	<u>240,081</u>
	National Endowment for the Arts						
N/A	National Endowment for the Arts	PROMOTION OF THE ARTS – GRANTS TO ORGANIZATIONS AND INDIVIDUALS	Direct	45 024		14,396	
	Total National Endowment for the Arts					<u>14,396</u>	

The accompanying notes are an integral part of this Schedule.

The University of Oklahoma – Norman Campus

Schedule of Expenditures of Federal Awards

Year Ended June 30, 2021

Cluster Name	Federal Grantor/Pass-Through Grantor	Program or Cluster Title	Direct/ Pass Through	Assistance Listing Number	Award Number	Expenditure	Subrecipient Expenditures
N/A	National Endowment for the Humanities National Endowment for the Humanities Total National Endowment for the Humanities	PROMOTION OF THE HUMANITIES – DIVISION OF PRESERVATION AND ACCESS	Direct	45 149		46,739	-
N/A	Institute of Museum and Library Services Institute of Museum and Library Services Univ of Wisconsin Total Institute of Museum and Library Services	NATIONAL LEADERSHIP GRANTS NATIONAL LEADERSHIP GRANTS	Direct Pass Through	45 312 45 312	787K124	161,693 5,026	97,944 97,944
N/A	Small Business Administration Small Business Administration Total Small Business Administration	FEDERAL AND STATE TECHNOLOGY PARTNERSHIP PROGRAM	Direct	59 058		99,348	-
N/A	Department of Education OK Dept of Rehab Svs OK Dept of Rehab Svs OK Dept of Rehab Svs OK Dept of Education Department of Education Univ of Arkansas Department of Education Department of Education Department of Education Total Department of Education	REHABILITATION SERVICES – VOCATIONAL REHABILITATION GRANTS TO STATES REHABILITATION SERVICES – VOCATIONAL REHABILITATION GRANTS TO STATES REHABILITATION SERVICES – VOCATIONAL REHABILITATION GRANTS TO STATES JAVITS GIFTED AND TALENTED STUDENTS EDUCATION SPECIAL EDUCATION – PERSONNEL DEVELOPMENT TO IMPROVE SERVICES AND RESULTS FOR CHILDREN WITH DISABILITIES SPECIAL EDUCATION – PERSONNEL DEVELOPMENT TO IMPROVE SERVICES AND RESULTS FOR CHILDREN WITH DISABILITIES SPECIAL EDUCATION – TECHNICAL ASSISTANCE AND DISSEMINATION TO IMPROVE SERVICES AND RESULTS FOR CHILDREN WITH DISABILITIES COVID-19 EDUCATION STABILIZATION FUND COVID-19 EDUCATION STABILIZATION FUND	Pass Through Pass Through Pass Through Pass Through Direct Pass Through Direct Direct	84 126 84 126 84 126 84 206 84 325 84 325 84 326 84 425F 84 425F	8059013422 8059019360 8059019394 2659017146 UA2020145 COVID-19, 84.425E COVID-19, 84.425F	89,107 914,561 99,923 1,103,591 512,590 304,724 110,747 11,828,591 52,557,473	102,339 817,314 110,747 363,417 66,520,055
N/A	Department of Health and Human Services Department of Health and Human Services ACT Missouri OK Dept of Mental Health Univ of OK Health Science Ctr Department of Health and Human Services Research Foundation of State Univ of NY Univ of OK Health Science Ctr OK Dept of Mental Health & Substance Abuse Total Department of Health and Human Services	SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES – PROJECTS OF REGIONAL AND NATIONAL SIGNIFICANCE SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES – PROJECTS OF REGIONAL AND NATIONAL SIGNIFICANCE SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES – PROJECTS OF REGIONAL AND NATIONAL SIGNIFICANCE SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES – PROJECTS OF REGIONAL AND NATIONAL SIGNIFICANCE DRUG-FREE COMMUNITIES SUPPORT PROGRAM GRANTS CHILD WELFARE RESEARCH TRAINING OR DEMONSTRATION MEDICAL STUDENT EDUCATION BLOCK GRANTS FOR PREVENTION AND TREATMENT OF SUBSTANCE ABUSE	Direct Pass Through Pass Through Pass Through Direct Pass Through Pass Through Pass Through	93 243 93 243 93 243 93 243 93 276 93 648 93 680 93 959	AGR102919 PO4529060113 RS2020162102 1089268 RS2019242601 PO4529062456	892,943 57,818 12,888 369,137 70,001 170,033 10,080 134,797	-
N/A	Social Security Administration Social Security Administration Total Social Security Administration	SOCIAL SECURITY – WORK INCENTIVES PLANNING AND ASSISTANCE PROGRAM	Direct	96 008		211,781	-
ECONOMIC DEVELOPMENT CLUSTER	Department of Commerce Department of Commerce Total Economic Development Cluster	COVID-19 ECONOMIC ADJUSTMENT ASSISTANCE	Direct	11 307	COVID-19	174,161	-
TRIO CLUSTER TRIO CLUSTER	Department of Education Department of Education Department of Education Total TRIO Cluster	TRIO – UPWARD BOUND TRIO – MCNAIR POST-BACCALAUREATE ACHIEVEMENT	Direct Direct	84 047 84 217		215,036 239,838	-
STUDENT FINANCIAL ASSISTANCE STUDENT FINANCIAL ASSISTANCE STUDENT FINANCIAL ASSISTANCE STUDENT FINANCIAL ASSISTANCE STUDENT FINANCIAL ASSISTANCE STUDENT FINANCIAL ASSISTANCE STUDENT FINANCIAL ASSISTANCE STUDENT FINANCIAL ASSISTANCE	Department of Education Department of Education College Work Study Job Location and Development Program Department of Education Department of Education Department of Education Perkins Loan Program Total Student Financial Assistance Cluster	FEDERAL SUPPLEMENTAL EDUCATIONAL OPPORTUNITY GRANTS FEDERAL WORK-STUDY PROGRAM FEDERAL WORK-STUDY PROGRAM FEDERAL PELL GRANT PROGRAM FEDERAL DIRECT STUDENT LOANS TEACHER EDUCATION ASSISTANCE FOR COLLEGE AND HIGHER EDUCATION GRANTS (TEACH GRANTS) FEDERAL PERKINS LOAN PROGRAM	Direct Direct Direct Direct Direct Direct Direct	84 007 84 033 84 033 84 063 84 268 84 379 84 038		2,080,045 2,004,359 75,000 2,079,359 24,997,554 132,442,936 501,985 10,352,141	75,000 885,362 960,362
Total Student Financial Assistance						172,484,020	960,362
GRAND TOTAL						\$ 330,443,533	\$ 11,407,252

The accompanying notes are an integral part of this Schedule.

The University of Oklahoma – Norman Campus

Notes to Schedule of Expenditures of Federal Awards

Year Ended June 30, 2021

Note 1: Basis of Presentation

The accompanying schedule of expenditures of federal awards (the Schedule) includes the federal award activity of the University of Oklahoma – Norman Campus (the University) under programs of the federal government for the year ended June 30, 2021. The information is presented in accordance with the requirements of Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Because the Schedule presents only a selected portion of the operations of the University, it is not intended to and does not present the financial position, changes in financial position, or cash flows of the University.

Note 2: Summary of Significant Accounting Policies

Expenditures reported in the Schedule are reported on the cash basis of accounting. Federal awards provided to subrecipients are treated as expenditures when paid to the subrecipient. When applicable, such expenditures are recognized following the cost principles contained in the Uniform Guidance, wherein certain types of expenditures are not allowable or are limited as to reimbursement.

For purposes of the Schedule, federal awards have been identified into two types:

- Direct federal awards consisting of federal assistance and federal student financial aid
- Pass-through funds received from non-federal organizations made under federally sponsored programs conducted by those organizations

Complete Federal Assistance Listing Numbers (FALN) are presented for those programs for which such numbers were available. FALN prefixes are presented for programs for which a complete FALN number is not available.

Federal direct programs are presented by federal department and, where applicable, the funding agency within the department. Federal pass-through programs are presented by the entity through which the University received the federal award. Amounts provided to subrecipients from each federal program have been separately identified for additional analysis. These pass-through awards are included in total cash basis expenditures.

The University administers the Pell Grant program, Supplemental Education Opportunity Grants, and other grant programs for students attending both the Norman and Health Sciences Center campuses of the University. Grant revenues and expenditures under such programs for students attending these campuses are included in the financial statements of the Norman Campus. Therefore, the Norman Campus Schedule of Expenditures of Federal Awards includes expenditures under such programs for students attending these campuses.

Federal contracts that do not meet the definition of Federal Domestic Assistance have been excluded from the Schedule as it was determined, based upon discussions with the University's federal cognizant agency or by the nature of the contract, that such contracts do not represent auditable federal awards under the provisions of the Uniform Guidance. Also, fixed price and fixed rate programs have been excluded.

The University of Oklahoma – Norman Campus
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2021

Note 3: Indirect Cost Rate

The University has not elected to use the 10% de minimis cost rate.

Note 4: Federal Direct Student Loan Program

Under the Federal Direct Student Loan Program (Direct Loan Program), the U.S. Department of Education makes loans to enable a student or parent to pay the costs of the student's attendance at a postsecondary school. The Direct Loan Program enables an eligible student or parent to obtain a loan to pay for the student's cost of attendance directly from the U.S. Department of Education rather than through private lenders. The University began participation in the Direct Loan Program on July 1, 2010. The University administers the origination and disbursement of the loans to eligible students or parents. The University is not responsible for the collection of these loans.

Note 5: Federal Perkins Loan Program

The Federal Perkins Loan Program is administered directly by the University. The beginning balance of loans outstanding (as of June 30, 2020) has been included as federal expenditures in the Schedule. As of June 30, 2021, the ending balance of the loans receivable under the Federal Perkins Loan Program was approximately \$7.9 million.

**Report on Internal Control over Financial Reporting and on
Compliance and Other Matters Based on an Audit of
Financial Statements Performed in Accordance with
Government Auditing Standards**

Independent Auditor's Report

Regents of the University of Oklahoma
The University of Oklahoma – Norman Campus
Norman, Oklahoma

We have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, the financial statements of the University of Oklahoma – Norman Campus (the University), which comprise the statement of net position as of June 30, 2021, and the related statements of revenues, expenses, and changes in net position and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated October 29, 2021, which contained *Emphasis of Matters* paragraphs regarding a correction of errors in the prior year financial statements, discussion of the reporting entity, and a reference to other auditors who audited the prior year.

Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered the University's internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control. Accordingly, we do not express an opinion on the effectiveness of University's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and, therefore, material weaknesses or significant deficiencies may exist that have not been identified. We did identify a certain deficiency in internal control, described in the accompanying schedule of findings and questioned costs as item 2021-001, that we consider to be a material weakness.

Regents of the University of Oklahoma
The University of Oklahoma – Norman Campus

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University’s financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the financial statements. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

University’s Response to the Finding

The University’s response to the finding identified in our audit is described in the accompanying schedule of findings and questioned costs. The University’s response was not subjected to the auditing procedures applied in the audit of the financial statements and, accordingly, we express no opinion on it.

Purpose of This Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity’s internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity’s internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

BKD, LLP

Tulsa, Oklahoma
October 29, 2021

Report on Compliance for Each Major Federal Program and Report on Internal Control over Compliance

Independent Auditor's Report

Regents of the University of Oklahoma
The University of Oklahoma – Norman Campus
Norman, Oklahoma

Report on Compliance for Each Major Federal Program

We have audited the University of Oklahoma – Norman Campus' (the University) compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of the University's major federal programs for the year ended June 30, 2021. The University's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with federal statutes, regulations, and the terms and conditions of its federal awards applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of the University's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the University's compliance.

Opinion on Each Major Federal Program

In our opinion, the University complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2021.

Report on Internal Control over Compliance

Management of the University is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the University's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies and, therefore, material weaknesses or significant deficiencies may exist that have not been identified. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, we did identify a certain deficiency in internal control over compliance, described in the accompanying schedule of findings and questioned costs as item 2021-002, that we consider to be a significant deficiency.

The University's response to the internal control over compliance finding identified in our audit is described in the accompanying schedule of findings and questioned costs. The University's response was not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the response.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

BKD, LLP

Tulsa, Oklahoma
February 28, 2022

The University of Oklahoma – Norman Campus
Schedule of Findings and Questioned Costs
Year Ended June 30, 2021

Summary of Auditor’s Results

Financial Statements

1. The type of report the auditor issued on whether the financial statements audited were prepared in accordance with accounting principles generally accepted in the United States of America (GAAP) was:
 Unmodified Qualified Adverse Disclaimer

2. The independent auditor’s report on internal control over financial reporting disclosed:
Significant deficiency(ies)? Yes None Reported
Material weakness(es)? Yes No

3. Noncompliance considered material to the financial statements was disclosed by the audit?
 Yes No

Federal Awards

4. The independent auditor’s report on internal control over compliance for major federal awards programs disclosed:
Significant deficiency(ies)? Yes None Reported
Material weakness(es)? Yes No

5. The opinions expressed in the independent auditor’s report on compliance for major federal awards programs were:
 Unmodified Qualified Adverse Disclaimer

6. The audit disclosed findings required to be reported by 2 CFR 200.516(a)?
 Yes No

7. The University’s major programs were:

Cluster/Program	Assistance Listing Number
<i>Student Financial Assistance Cluster</i>	
Federal Supplemental Educational Opportunity Grants	84.007
Federal Work-Study Program	84.033
Federal Perkins Loan Program	84.038
Federal Pell Grant Program	84.063
Federal Direct Student Loans	84.268
Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)	84.379

The University of Oklahoma – Norman Campus
Schedule of Findings and Questioned Costs, continued
Year Ended June 30, 2021

Cluster/Program	Assistance Listing Number
COVID-19 – Education Stabilization Fund	
Higher Education Emergency Relief Fund – Student Aid Portion	84.425E
Higher Education Emergency Relief Fund – Institutional Portion	84.425F
Airport Improvement Program	20.106

8. The threshold used to distinguish between Type A and Type B programs was \$3,000,000.
9. The University qualified as a low-risk auditee? Yes No

The University of Oklahoma – Norman Campus
Schedule of Findings and Questioned Costs, continued
Year Ended June 30, 2021

Findings Required to be Reported by Government Auditing Standards

Reference Number	Finding
2021-001	<p>Criteria or Specific Requirement – Management is responsible for designing, establishing, and maintaining effective internal controls to promote accurate recording and classification of accounting transactions.</p> <p>Condition – Management identified adjustments necessary for the prior year financial statements to be presented in accordance with generally accepted accounting principles.</p> <p>Effect – The 2020 financial statements were restated to correct material misstatements that were not previously identified by management.</p> <p>Cause – System limitations did not provide sufficient information for management to appropriately evaluate items for classification and proper recording related to capital assets, unearned revenue, and endowment receivables.</p> <p>Recommendation – Management should ensure that controls are adequate to properly evaluate and record accounting entries.</p> <p>Views of Responsible Officials and Planned Corrective Actions – The conditions reported were identified by management and related to previously reported balances. Additional communication and training of staff, along with the implementation of a new general ledger system, will allow management to ensure proper classification and recording of the balances in the future.</p>

The University of Oklahoma – Norman Campus
Schedule of Findings and Questioned Costs, continued
Year Ended June 30, 2021

Findings Required to be Reported by the Uniform Guidance

Reference Number	Finding
2021-002	<p style="text-align: center;">Airport Improvement Program, Assistance Listing No. 20.206, U.S. Department of Transportation, Award Year 2021</p> <p>Criteria or Specific Requirement – The University is responsible for establishing internal controls to ensure financial reporting required by federal grant programs is completed in a timely manner and within federal requirements.</p> <p>Condition – Federal Financial Reports were not submitted in a timely manner.</p> <p>Questioned Costs – None.</p> <p>Context – Two annual Federal Financial Reports (SF-425) were required to be submitted during the period under audit. Both of these were selected for testing. Neither was submitted within 90 days of the end of the reporting period.</p> <p>Effect – Both annual Federal Financial Reports were filed late.</p> <p>Cause – The University did not have effective internal control processes in place to ensure timely filing of required reports.</p> <p>Recommendation – The University should review its procedures for reporting for grants that fall outside the oversight of the Research Financial Services department.</p> <p>Views of Responsible Officials and Planned Corrective Actions – Management concurs with the finding and proper controls are being implemented during FY2022. Research Financial Services will meet with Airport management to discuss the transition of all sponsored funding to the management of Research Financial Services.</p>

The University of Oklahoma – Norman Campus
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2021

Reference Number	Summary of Finding	Status
2020-001	<p>A properly designed system of internal controls over financial reporting includes the preparation of an entity’s financial statements by internal personnel of the entity. Management is responsible for establishing and maintaining internal control over financial reporting and procedures related to the fair and timely presentation of the financial statements in accordance with U.S. generally accepted accounting principles.</p> <p>Certain capital contributions to the athletic programs were not properly eliminated. This resulted in an overstatement of both auxiliary revenue and other expense that was not discovered and corrected during the journal entry review process.</p> <p>An adjusting entry in the amount of \$10 million was made to correct the overstatement of auxiliary revenue and other expense.</p>	Resolved

The University of Oklahoma – Norman Campus
Summary Schedule of Prior Audit Findings
Year Ended June 30, 2021

Reference Number	Summary of Finding	Status
2020-002	<p>Student Financial Assistance Cluster – Federal Direct Student Loans, Assistance Listing No. 84.268; Federal Pell Grant Program, Assistance Listing No. 84.063; Award Year 2020</p> <p>Special Tests and Provisions – Enrollment Reporting – 34 CFR Sections 690.83(b)(2) and 685.309</p> <p>Student status changes were not communicated to the NSLDS accurately, in accordance with 34 CFR Sections 690.83(b)(2) and 685.309. Additionally, institutional records were inconsistent with data reported to NSLDS.</p>	Resolved