

2024 Foreign Financial Disclosure Report (FFDR)

Welcome to Foreign Financial Disclosure Reporting (FFDR) 2024.

Before beginning this form, it is recommended that you complete an internal query to ensure that you have a full list on hand of reportable, discrete transactions to disclose for the current reporting period - July 1, 2023, through June 30, 2024.

Please submit one form per discrete transaction to ensure that all required data elements are provided. All records associated with your disclosure must be retained in compliance with the University's records retention policies and must be held until all applicable audits are completed.

If your financial unit (all ORG numbers over which you have purview) has zero reportable transactions for this reporting period, please submit one form to confirm this status.

If there are questions, please contact: foreigngiftsandcontracts@ou.edu.

Please provide the following:

Your Name: _____

Name of College or Department: _____

University email of the person we may contact should there be additional questions:

University email you would like a final copy of this disclosure report to be sent to:

Is this disclosure associated with HSC programs or with Norman programs?

- HSC
- Norman

Did your financial or administrative unit (i.e. all ORG numbers over which you have oversight), directly or indirectly, receive and/or enter into any “gifts”, “contracts” or other forms of financial support with a “foreign source” that is associated with China, Iran, North Korea or Russia during the current reporting period?

A “foreign source” means:

- **A foreign government, including an agency of a foreign government;**

- **A legal entity, governmental or otherwise, created solely under the laws of a foreign state or states;**
 - **An individual who is not a citizen or a national of the United States or a trust territory or protectorate thereof; OR**
 - **An agent, including a subsidiary or affiliate of a foreign legal entity, acting on behalf of another “foreign source.**
- No
 - Yes

Foreign Source

Please provide the following about the “foreign source” associated with the discrete transaction you need to disclose:

Legal Name _____

And/or

DBA/Trade Name _____

Address _____

City _____

Country _____

Does the transaction you need to disclose fit the definition of a “gift” or a “contract”?

- “Gift” – any gratuity, favor, discount, entertainment, hospitality, loan, forbearance, license, special access, equipment time, samples, research data, or other item having monetary value. A gift also includes services as well as gifts of training, transportation, local travel, lodging, meals, research hours, whether provided in-kind, by purchase of a ticket, payment in advance, or reimbursement after the expense has occurred. A gift by definition is given without expectation of anything in return. (20 U.S.C. 1011(f))
- “Contract” – a source of financial support that does not fall under the definition of a gift for the purposes of the FFDR.

For “gifts”, please respond to pgs. 3 - 7.

For “contracts”, please respond to pgs. 8 – 11.

If you are disclosing a “gift”....

- Gift Amount (in USD) that was received during the current reporting period _____
- Date Received _____
- Identifying Details (project title, PO #, receipt #, etc.):

1. Is this a multiyear gift, meaning that the “gift” will be incrementally received by the University over the course of multiple years? If yes, please confirm the multiyear gift’s starting and ending dates AND the expected total value (in USD) of the multiyear gift across its lifetime (i.e., the sum across all years).

- No
- Yes

Starting/Ending Dates & Total Value: _____

2. Is this “gift” from a foreign government, including but not limited to, any agency of a foreign government? If yes, please confirm the name of the foreign government and respond to question #3. If no, proceed to question #4.

- No
- Yes

Name of Foreign Government: _____

3. For support received from a foreign government or an agent acting on behalf of a foreign government, to which country is the support attributable?

- China
- Iran
- North Korea
- Russia

4. Is the “gift” from a legal entity, governmental or otherwise, created solely under the laws of a foreign state or states?

- No
- Yes – answer Q#8

5. Is the “gift” from an individual who is not a citizen or national of the United States or a trust territory or protectorate thereof? A ‘trust territory or protectorate’ means the following four separate, self-governing districts:

- **The Commonwealth of the Northern Marianas**
- **The Federated States of Micronesia (comprised of Kosrae, Pohnpei, Chuuk, and Yap)**
- **The Republic of the Marshall Islands**
- **The Republic of Palau**
 - No
 - Yes – answer #Q8

6. Is the “gift” from an individual or entity, including a subsidiary or affiliate of a foreign legal entity who acts as an agent of a foreign government; a legal entity created solely under the laws of a foreign state or states; or an individual who is not a citizen or national of the United States or a trust territory or protectorate thereof? See question #5 for a definition of ‘trust territory or protectorate’.

- No
- Yes – answer Q#7

7. Input the Principal Name (i.e., the foreign government; legal entity; individual or person on whose behalf the “foreign source” agent is acting).

8. For “gifts” received from an individual or entity which is not a foreign government and not an agent acting on behalf of a foreign government, to which country is the support attributable? For natural persons, this will be the country of the principal residence. For incorporated entities, it will be the country of incorporation or, if unknown, the entity’s principal place of business.

- China
- Iran
- North Korea
- Russia

9. Is the “gift” specified to go to a certain researcher(s) by name?

- No – skip Q#10
- Yes – answer Q#10

10. Please list each researcher, including first name, last name and middle initial if available (e.g., John M. Doe).

- Researcher 1: _____
- Researcher 2: _____
- Researcher 3: _____
- Researcher 4: _____
- Researcher 5: _____

11. Is there agreement with and/or general or specific guidance from the “foreign source” on the purpose of the “gift”?

- No – skip Q#12
- Yes – answer Q#12

12. Please provide the purpose of the terms of the “gift”.

13. Are there reporting requirements reflecting how the “gift” was used?

- No
- Yes

14. Do the terms of the “gift” include restrictions or conditions concerning or relating to the employment, assignment, or termination of faculty?

- No – skip Q#18
- Yes – answer Q#18

15. Do the terms of the “gift” include restrictions or conditions concerning or relating to the establishment of the departments, centers, research or lecture programs or new faculty positions?

- No – skip Q#18
- Yes – answer Q#18

16. Do the terms of the “gift” include restrictions or conditions concerning or relating to the selection or admissions of students?

- No – skip Q#18
- Yes – answer Q#18

17. Do the terms of the “gift” include restrictions or conditions concerning or relating to the award of grants, loans, scholarships, fellowships, or other forms of financial aid restricted to students of a specified country, religion, sex, ethnic origin, or political opinion?

- No – skip Q#18
- Yes – answer Q#18

18. Please provide a detailed, narrative description of the restrictions or conditions.

19. Does the “gift” include any other restrictions or conditions that we have not already asked you about in this form?

- No – skip Q#20
- Yes – answer Q#20

20. Please provide a detailed, narrative description of such restrictions or conditions.

21. Was the “gift” given directly to the University from the “foreign source”? This includes “gifts” received through the OU Foundation and related entities such as any educational, cultural, or language entity affiliated with the University?

- No – skip Q#22
- Yes – answer Q#22

22. Please specify which entity(ies) directly received the “gift”.

- The University
- OU Foundation
- A related entity, such as an educational, cultural or language entity

23. Was the “gift” given directly to the University from the “foreign source”, such as “gifts” received from an “intermediary”? An “intermediary means an individual or legal entity – other than the University, the OU Foundation, or related entities such as an educational, cultural or language entity affiliated with the University – that receives a gift or contract from the originating “foreign source” and then passes part or all of the gift or contract to the institution of higher education.

- No – skip Q#24
- Yes – answer Q#24

24. Please provide the name(s) of the entity(ies), including intermediaries, through which the “gift” was indirectly given to the University.

- Entity/Intermediary: _____
- Entity/Intermediary: _____
- Entity/Intermediary: _____

25. Any additional comments about this gift:

If you are disclosing a “contract”...

- Contract Amount (in USD) received during the current reporting period: _____
- Contract Start Date: _____
- Contract End Date: _____
- Identifying Details (project title, PO #, receipt #, etc.):

1. Is this a multiyear contract, meaning that the “contract” support will be incrementally received by the University over the course of multiple years?

- No – skip Q#2
- Yes – answer Q#2

2. Please confirm the expected total value (in USD) of the multiyear contract across its lifetime (i.e. the sum across all year).

3. Is the “contract” from a foreign government, including but not limited to any agency of a foreign government?

- No – skip Q#4
- Yes – answer Q#4

Name of Foreign Government: _____

4. For “contracts” with a foreign government or an agent acting on behalf of a foreign government, to which country is the support attributable?

- China
- Iran
- North Korea
- Russia

5. Is the “contract” with a legal entity, governmental or otherwise, created solely under the laws of a foreign state or states?

- No – skip Q#8
- Yes – answer Q#8

6. Is the “contract” with an individual who is not a citizen or national of the United States or a trust territory or protectorate thereof? A ‘trust territory or protectorate’ means the following four separate, self-governing districts:

- **The Commonwealth of the Northern Marianas**

- **The Federated States of Micronesia (comprised of Kosrae, Pohnpei, Chuuk, and Yap)**
- **The Republic of the Marshall Islands**
- **The Republic of Palau**
- No – skip Q#8
- Yes – answer Q#8

7. Is the “contract” with an individual or entity, including a subsidiary or affiliate of a foreign legal entity, who acts as an agent on behalf of a foreign government; a legal entity created solely under the laws of a foreign state or states, or an individual who is not a citizen or national of the United States or a trust territory or protectorate thereof? See Q#6 for a definition of ‘trust territory or protectorate’.

- No – skip Q#8
- Yes – answer Q#8

8. Input the Principal Name (i.e., the foreign government; legal entity; individual or person on whose behalf the “foreign source” agent is acting).

9. For “contracts” received from an individual or entity which is not a foreign government and not an agent of a foreign government, to which country is the support attributable? For natural persons, this will be the country of their principal residence. For incorporated entities, it will be a country of incorporation or, if unknown, the entity’s principal place of business.

- China
- Iran
- North Korea
- Russia

10. Is the “contract” specified to go to a certain researcher(s) by name?

- No – skip Q#11
- Yes – answer Q#11

11. Please list each researcher, including first name, last name and middle initial if available (e.g., John M. Doe).

- Researcher 1: _____
- Researcher 2: _____
- Researcher 3: _____
- Researcher 4: _____
- Researcher 5: _____

12. Do the terms of the “contract” include restrictions or conditions concerning or relating to the employment, assignment, or termination of faculty?

- No
- Yes

13. Do the terms of the “contract” include restrictions or conditions concerning or relating the establishment of departments, centers, research or lecture programs, or new faculty positions?

- No
- Yes

14. Do the terms of the “contract” include restrictions or conditions concerning or relating to the selection or admission of students?

- No
- Yes

15. Do the terms of the “contract” include restrictions or conditions concerning or related to the award of grants, loans, scholarships, fellowships, or other forms of financial aid restricted to students of a specified country, religion, sex, ethnic origin, or political opinion?

- No
- Yes

16. Please provide a detailed, narrative description of the restrictions or conditions.

17. Do the terms of the “contract” include any other restrictions or conditions that we have not already asked you about in this form?

- No – skip Q#18
- Yes – answer Q#18

18. Please provide a detailed, narrative description of such restrictions or conditions.

19. Was the “contract” entered into directly with the reporting institution? This includes “contracts” entered into the “foreign source” via the OU Foundation and related entities, such as any educational, cultural or language entity.

- No – skip Q#20
- Yes – answer Q#20

20. Please specify through which entity(ies) the “contract” was directly entered into.

- The University
- OU Foundation
- A related entity, such as an educational, cultural or language entity

21. Was the “contract” entered into with the “foreign source” by a third party, such as an intermediary? An “intermediary” means an individual or legal entity other than an institution of higher education that receives a gift or contract from a foreign source and then passes part or all of the gift or contract to the institution of higher education.

- No – skip Q#22
- Yes – answer Q#22

22. Please provide the name(s) of the third party(ies), including intermediaries, through which the University indirectly entered into the “contract” with the “foreign source”.

- Third Party/Intermediary: _____
- Third Party/Intermediary: _____
- Third Party/Intermediary: _____

23. Any additional comments about this contract:
