# CASNSC: A context-based approach for accurate pedestrian motion prediction at intersections

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# Abstract

Intention recognition of pedestrians is crucial to safe and reliable working of 1 2 autonomous vehicles, when serving as, for instance, indoor service robots or 3 self-driving cars in busy urban scenes. Previously, Chen et al. [2016] combined Markovian-based and clustering-based approaches to learn motion primitives and 4 subsequently predict pedestrian trajectories by modeling the transition between 5 learned primitives as a Gaussian Process (GP). This work further develops their ap-6 proach by incorporating semantic features from the environment (relative distance 7 to curbside and status of pedestrian traffic lights) for more confident prediction of 8 9 pedestrian trajectories at intersections. Adding the environmental context, when available, not only makes prediction more robust but can also provide increased 10 flexibility of prediction in new environments. We test our algorithm on real data. 11 The results show 26% improvement in prediction *accuracy* as compared to previous 12 work, on incorporation of new features. 13

# 14 **1** Introduction

Recent advances in sensor technologies and computing power have led to a surge in research on 15 autonomous driving to improve road safety (Fagnant and Kockelman [2015], Bagloee et al. [2016]), 16 reduce traffic congestion and improve vehicle utilization. For safe and efficient autonomous driving in 17 complex urban environments, a self-driving vehicle must be able to interact with other moving objects, 18 including pedestrians, cyclists, and, of course, cars. Pedestrian trajectory prediction is challenging as 19 compared to that of other cars and cyclists because of the absence of a regular flow, such as driving 20 within lanes and staying within road boundaries, that result from a fairly uniform set of predefined 21 "rules of the road" for cars (and to some extent cyclists). The complexity is increased further when 22 the urban environment includes pedestrian traffic lights or tightly packed sidewalks with numerous 23 pedestrian interactions. 24

Several papers have been written on short-term prediction of human motion (Kooij et al. [2014], 25 Bissacco and Soatto [2009]), but understanding goals or intent is needed to plan for longer timescales 26 (Karasev et al. [2016], Alahi et al. [2016]). Previous work has focused on two main approaches 27 (Lefèvre et al. [2014]) to modeling maneuvers of dynamic agents, including pedestrians: 1) prototype 28 trajectories-based and 2) maneuver intention estimation-based. In general, prototype trajectories-29 based/clustering-based approaches are more robust to measurement noise when compared to maneuver 30 intention estimation-based approaches, which are mostly Markovian (Makris and Ellis [2002], 31 Vasquez et al. [2009]) and rely on the current state only for prediction. However, the prototype 32 trajectories-based approaches can be computationally quite expensive (Rasmussen and Ghahramani 33 [2002], Ferguson et al. [2015]) and hence slow in detecting changes in pedestrian intent. They are 34 also susceptible to issues like partial trajectories in the training dataset being grouped into a cluster 35 and learned as a trajectory prototype. 36

Chen et al. [2016] use a combination of prototype trajectory-based and Markovian-based methods to 37 inherit the benefits of both techniques in developing a dictionary learning algorithm, called augmented 38 semi nonnegative sparse coding (ASNSC). Learning motion primitives instead of complete prototype 39 trajectories addresses partial observability of trajectories caused by occlusions or a limited field of 40 view of on-board perception sensors. ASNSC creates a set of feasible trajectories as its prediction that 41 are learned based on solely the spatial features of the training dataset (absolute x and y position and 42 orientation of pedestrians), independent of the environment context that may influence a pedestrian's 43 intent. 44

The accuracy of these predictions could be im-45 proved by adding semantic features from the 46 environment in the learning process. Incorpo-47 rating the environmental context can also pro-48 vide the flexibility of application of the learned 49 model to prediction in new, but similar envi-50 ronments, unexplored earlier, which is in gen-51 eral difficult to achieve with clustering-based 52 approaches (Lefèvre et al. [2014]). Fig. 1 shows 53 an intersection scenario in which, when faced 54 by a choice between two crosswalks, pedestrian 55 traffic light status for each of those crosswalks 56 influences pedestrian choice. Similarly, a com-57 parison of the relative distance to each curbside 58 could be indicative of future direction of mo-59 tion. Most of the previous work on context-60 based pedestrian trajectory prediction is limited 61 to a classification problem (Schulz and Stiefel-

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Figure 1: Example intersection scenario. Dotted green line denotes a rectangular approximation to the curbside in view. Orange arrows denote relative distance of a pedestrian from the two curbsides, which can indicate pedestrian intention. Pedestrian traffic light status is highlighted in orange, which influences pedestrian movement.

hagen [2015]). This work, in contrast, provides a continuous trajectory as its prediction output. 63 Karasev et al. [2016] used jump-Markov process for long term prediction of pedestrian motion by 64 incorporating traffic light and crosswalks as semantic features. The output of their prediction model 65 is an *occupancy map* of feasible trajectory predictions. Our goal is to make prediction confident and 66 output the most likely trajectory with increased accuracy. 67

Our approach extends ASNSC by incorporating semantic features from the environment. In order to 68 meet these objectives, a dictionary of motion primitives is learned as in Chen et al. [2016]. However, 69 the transition between these motion primitives is learned with respect to both spatial as well as 70 additional environmental contexts. As illustrated in Fig. 2(c), the influence of pedestrian traffic light 71 status on the probability of transition between two motion primitives is not captured in ASNSC. Two 72 73 main features are used to incorporate the environmental context in this work: pedestrian traffic light status and relative distance to curbside. Similar to the approach followed by Chen et al. [2016], GP 74 models are used to learn the transition between motion primitives and subsequently predict pedestrian 75 velocity. A squared exponential (SE) kernel function with automatic relevance determination (ARD) 76 (Rasmussen and Williams [2006]) is used to determine the relevance of each of the individual features. 77 The results show a 26% increase in the *accuracy* of pedestrian trajectory prediction. 78

#### 2 Augmented semi nonnegative sparse coding 79

Given a training dataset of *n* samples,  $\mathbf{Z} = [\mathbf{x}_1, \dots, \mathbf{x}_n]$ , where  $\mathbf{x}_i$  is a column vector of length *p*, the 80 objective is to learn a set of K dictionary atoms,  $\mathbf{D} = [\mathbf{d}_1, \dots, \mathbf{d}_K]$ , and the corresponding nonneg-81 ative sparse coefficients,  $\mathbf{S} = [\mathbf{s}_1, \dots, \mathbf{s}_n]$ . Mathematically, this can be formulated as a constrained 82 optimization problem of the form (Chen et al. [2016]) 83

$$\underset{\mathbf{D},\mathbf{S}}{\operatorname{argmin}} ||\mathbf{Z} - \mathbf{D}\mathbf{S}||_{F}^{2} + \lambda \sum_{i=1}^{n} ||\mathbf{s}_{i}||_{1}$$
(1)

s.t. 
$$\mathbf{d}_{\mathbf{k}} \in \mathbf{Q}, \ s_{ki} \ge 0 \ \forall \ \mathbf{k}, \mathbf{i}$$
 (2)

where  $\lambda$  is a regularization parameter and **Q** is the feasible set in which **d**<sub>k</sub> resides. Fig. 2(b) shows 84 an example of dictionary atoms learned using ASNSC. D is used to segment the original training 85 trajectories  $\mathbf{x}_i$  into clusters, where each cluster is best explained by one of the learned dictionary 86 atoms. 87

### 88 2.1 Trajectory prediction using learned dictionary

A transition matrix,  $\mathbf{T} \in \mathbb{Z}^{K \times K}$  is thus created, where T(i, j) denotes the number of trajectories exhibiting a transition from *i*-th dictionary atom to *j*-th dictionary atom. A transition will, therefore, be mathematically represented as a concatenation of two dictionary atoms  $\{\mathbf{d}_i, \mathbf{d}_j | T(i, j) > 0\}$ . Each transition is modeled as a two-dimensional GP flow field (Joseph et al. [2011], Aoude et al. [2013]). In particular, two independent GPs,  $(GP_x, GP_y)$ , called GP motion patterns are used to learn a mapping from chosen features  $\mathbf{X} \in \mathbb{R}^N$  to the x-y velocities. ASNSC uses  $\mathbf{X} = \mathbf{X}_{\mathbf{p}} = (x, y)^\top \in \mathbb{R}^2$  as the feature vector.

$$GP_x: \mathbf{X} \to v_x, \quad GP_y: \mathbf{X} \to v_y$$
 (3)

<sup>96</sup> The learned GP motion patterns,  $(GP_x, GP_y)$ , are used for generating a predicted path using (3) as <sup>97</sup> well as for computing the likelihood of an observed trajectory,  $\mathbf{t}' = \{(\mathbf{X}_1', \mathbf{v}_1'), \dots, (\mathbf{X}_l', \mathbf{v}_l')\}$  using

$$P(\mathbf{t}'|GP_x, GP_y) = \prod_{\mathbf{X}' \in \mathbf{t}'} \mathcal{N}(v_x; \boldsymbol{\mu}_{GP_x}(\mathbf{X}'), \boldsymbol{\sigma}_{GP_x}^2(\mathbf{X}')) \mathcal{N}(v_y; \boldsymbol{\mu}_{GP_y}(\mathbf{X}'), \boldsymbol{\sigma}_{GP_y}^2(\mathbf{X}'))$$
(4)

Trajectory prediction has two main steps. 1) Unitary GP motion patterns  $(GP_x^{uni}, GP_y^{uni})$  are learned from training trajectories corresponding to  $\mathbf{T}(i, j) \forall i = j$ . The unitary GP motion pattern that most likely generated the observed trajectory  $\mathbf{t}'$  is determined using (4), which is equivalent to selecting the most likely initial dictionary atom  $\mathbf{d}_{\hat{k}}$  (Algorithm 1, line 12). 2) The set of possible future dictionary atoms can be found as  $\mathscr{D} = \{j | \mathbf{T}_{\hat{k}j} > 0\}$  (Algorithm 1, line 13). Transitional GP motion patterns,  $(GP_{x_{\hat{k}j}}^{tran}, GP_{y_{\hat{k}j}}^{tran}) \forall j \in \mathscr{D}$  are used for generating a set of predicted trajectories  $\{\mathbf{s}_j\}$ .

## <sup>104</sup> **3** Context-based augmented semi nonnegative sparse coding

This work develops ASNSC by incorporating semantic features from the environment in the prediction 105 phase (Algorithm 1, lines 5-14) and is motivated by situations in which the environmental context 106 influences transition between learned dictionary atoms (see Fig. 2(c)). The proposed approach uses 107 two sets of features: 1) learning features, X<sub>p</sub>, which are used for learning D (Algorithm 1, lines 1-4); 108 and 2) prediction features, X, which are used for prediction using GP models (lines 5-14). ASNSC 109 uses the same set of features, Xp, for both learning and prediction. In contrast, CASNSC uses the 110 same set of *learning features*,  $\mathbf{X}_{\mathbf{p}}$ , as used in ASNSC, but an augmented set of features,  $\mathbf{X} = (\mathbf{X}_{\mathbf{p}} : \mathbf{X}_{\mathbf{c}})$ , 111 as prediction features. Here,  $\mathbf{X}_{\mathbf{c}}$  denotes the set of additional context features. 112

$$GP_x: \mathbf{X} \to v_x, \quad GP_y: \mathbf{X} \to v_y$$
 (5)

where 
$$\mathbf{X} \in \mathbb{R}^N$$
 s.t.  $\mathbf{X} = (\mathbf{X}_{\mathbf{p}} : \mathbf{X}_{\mathbf{c}}), \ \mathbf{X}_{\mathbf{p}} \in \mathbb{R}^2 \ \mathbf{X}_{\mathbf{c}} \in \mathbb{R}^{N-2}$  (6)

#### 113 **3.1 Context features**

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The relative distance of a pedestrian from the left/right curbside and pedestrian traffic lights' status have been used as additional *context features* in this work. However, the described framework is generalizable to any number and type of feature selection.

#### 117 3.1.1 Distance to curbside

The relative distance of a pedestrian (treated as a point mass) to curbside can be computed using a map of the environment. When approaching an intersection, pedestrians are in the vicinity of two different curbsides, assumed to intersect at a point (see Fig. 2(a)). A two-dimensional vector,  $(c_l, c_r)^{\top}$ , is therefore used as the curbside feature. This particular feature influences pedestrian intention only when the observed trajectory starts on the sidewalk. As explained in Fig. 2(a), this aspect is captured by assigning a positive or negative sign to the distance computed when constructing the feature vector.

# 124 3.1.2 Pedestrian traffic light

A pedestrian's decision to go left or right is influenced by the status of two pedestrian traffic lights (T1, T2) in a four-way intersection scenario. However, in contrast to the curbside feature, a singledimensional feature vector, (tr), is sufficient to capture the environment context with respect to both the traffic lights as the change in status of (T1, T2) captures redundant information.





Figure 2: (a) A typical four-way intersection used to explain the curbside feature. The zoomed portion (right) shows a pedestrian location as a green star.  $c_l, c_r$  denote the distance to the two curbsides of interest. If the green star is in the orange region (curbside), a positive value is assigned to the curbside feature. Otherwise, a negative value is assigned. (b) From Chen et al. [2016]: examples of dictionary atoms learned using ASNSC; each color represents one dictionary atom (below). Training trajectory segments that agree with each of the dictionary atoms are also shown (top). (c) Pedestrian traffic light status influences transition between dictionary atoms. T1 and T2 denote two different traffic lights. Transition between dictionary atoms represented by black and blue has a higher probability than that between black and green for T1 = 0, T2 = 1.

#### 129 3.2 Kernel function

A SE kernel function with ARD is used as it allows for the combination of features with different characteristics and scales each feature in accordance with its relevance. Mathematically, it is given by the following form (Rasmussen and Williams [2006]):

$$k(\mathbf{X}, \mathbf{X}') = \sigma_f^2 \exp(-\frac{1}{2}(\mathbf{X} - \mathbf{X}')\Lambda(\mathbf{X} - \mathbf{X}'))$$
(7)

where 
$$\boldsymbol{\theta} = (\{\Lambda\}, \sigma_f^2)^\top$$
 and  $\Lambda = \text{diag}(\mathbf{I}^{-2})$  (8)

Here,  $\theta$  is a vector containing all hyperparameters and **l** is a vector of positive values. Thus, for an N-dimensional feature vector, the number of hyperparameters needed to define the SE kernel function with ARD is (N+1). The  $l_1, \ldots, l_N$  hyper parameters represent characteristic lengths of the individual features and aid in determining the relevance of each feature in the N-dimensional feature space. In this work, the *GPML Toolbox* has been used for learning hyperparameters. In particular, for predictions using CASNSC with pedestrian traffic light status as an additional contextual feature,  $\mathbf{X} = (x, y, tr)^{\top}$  in (7) and  $\boldsymbol{\theta} = (l_x, l_y, l_{tr}, \boldsymbol{\sigma}_f)^{\top}$  in (8). For distance to curbside as an additional *context feature*, in order to account for its dependency on pedestrian position, a linear combination of two SE with ARD kernel functions is used as follows:

$$k(\mathbf{x}, \mathbf{x}') = \sigma_{f_1}^2 \exp(-\frac{1}{2}(\mathbf{X}_{\mathbf{p}} - \mathbf{X}_{\mathbf{p}}')\Lambda_p(\mathbf{X}_{\mathbf{p}} - \mathbf{X}_{\mathbf{p}}')) + \sigma_{f_2}^2 \exp(-\frac{1}{2}(\mathbf{X}_{\mathbf{c}} - \mathbf{X}_{\mathbf{c}}')\Lambda_c(\mathbf{X}_{\mathbf{c}} - \mathbf{X}_{\mathbf{c}}'))$$
(9)

where 
$$\boldsymbol{\theta} = (l_x, l_y, l_{c_l}, l_{c_r}, \boldsymbol{\sigma}_{f_1}, \boldsymbol{\sigma}_{f_2})^\top$$
 and  $\mathbf{X}_{\mathbf{c}} = (c_l, c_r)^\top$  (10)

#### 142 4 Results

Our approach is tested on real 143 pedestrian data collected by a Po-144 laris GEM vehicle equipped with 145 146 three Logitech C920 camera and a SICK LMS151 LIDAR (Miller 147 and How [2017], Miller et al. 148 [2016]). The dataset consists of 149 186 training trajectories and 32 150 test trajectories. An observation 151 history of 2.5 seconds prior to 152 the pedestrian entering the inter-153 section is used to predict 5 sec-154 onds ahead in time. Fig. 3 pro-155 vides a qualitative comparison 156 of our approach with Chen et al. 157 [2016] on the inclusion of dis-158 tance to curbside as an additional 159 feature. While ASNSC provides 160 all feasible pedestrian trajecto-161 ries, given the intersection geom-162 etry, CASNSC only picks those 163

that are closest to the actual tra-

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Figure 3: ASNSC vs. CASNSC on incorporation of relative distance to curbside as a *context feature*. Training trajectories are shown in gray and prediction is performed for test trajectories in the black circle.

165 jectory. Similarly, Fig. 5 compares prediction results in three different scenarios, on the inclusion of 166 pedestrian traffic light status as an additional feature.

In the first scenario (trajectory 18), pedestrian traffic 167 lights' status is given by T1 = 0, T2 = 1 and the 168 pedestrian has already crossed the intersection and is 169 entering the sidewalk. While ASNSC predicts a set of 170 two trajectories, CASNSC provides a more confident 171 prediction. In the second scenario (trajectory 25), the 172 173 traffic lights' status is the same but the pedestrian 174 is now entering the intersection and is faced with a 175 choice between two crosswalks. CASNSC picks the 176 correct direction out of the set of feasible directions (as predicted by ASNSC) taking the pedestrian traffic 177



Figure 4: (Left) *Incorrect* and *correct* predictions at an intersection scenario. (Right) Use of AUC as a metric for variance in prediction.

light status into account. In the last scenario (trajectory 11), the traffic lights' status is given by T1 = 1, T2 = 0. While both ASNSC and CASNSC pick the correct direction, predictions using the latter follow the actual trajectory more closely since it can utilize the information that T2 = 0 to predict that the pedestrian will continue moving straight, with little or no probability of turning left.

Fig. 4 illustrates the metrics used for performance evaluation and Table 1 provides a quantitative comparison of ASNSC with CASNSC on the inclusion of pedestrian traffic light status as an additional *context feature*. As illustrated in Fig. 4, the *Area Under the Curve (AUC)* (Hand [2009]) is used as a metric for comparing the confidence level of predictions, such that a larger *AUC* corresponds to a lower confidence.

Table 1 indicates that *AUC* for predictions using CASNSC is lower than when using ASNSC, confirming that CASNSC provides a more confident prediction. Classification *accuracy* is also

Table 1: Performance evaluation comparison of CASNSC with ASNSC

Algorithm	Classification accuracy(%)	MHD(m)	$AUC(m^2)$	Computation time(s)
ASNSC	73.95	2.12	75.48	<b>0.14</b> 0.3
CASNSC	<b>100</b>	<b>1.79</b>	<b>30.51</b>	

measured, which represents the fraction of *correct* predictions. For an correct representation of this metric, the likelihood of prediction of each trajectory is taken into account when computing the *accuracy*. For instance, if a set of *n* trajectories is predicted,  $\{\mathbf{t}_1, \ldots, \mathbf{t}_n\}$ , with their likelihood of prediction given by  $\{l_1, \ldots, l_n\}$ , and the *correct* predictions are identified as  $\{\mathbf{t}_i\} \forall i \in \mathbb{C} \subset \{1, \ldots, n\}$ , the classification *accuracy* is given by:

Classification accuracy 
$$\% = \frac{\sum_{i \in \mathbb{C}} l_i}{\sum_{k=1}^n l_k} \times 100\%.$$
 (11)

In addition to the illustrated metrics, the *modified Hausdorff distance (MHD)* (Dubuisson and Jain [1994]) is used to compare predicted pedestrian trajectories with the ground truth. Note that MHD is used to compare the *correct* predictions only. Table 1 shows an improvement in all the chosen

<sup>197</sup> metrics, with only a slight increase in computation time.



Figure 5: Comparison of prediction performance of ASNSC with CASNSC on addition of pedestrian traffic light status as a *context feature*.

# 198 **5** Conclusion

We extend ASNSC by incorporating relative distance to curbside and pedestrian traffic light status 199 as additional context features for more confident and accurate prediction. Our approach, CASNSC, 200 shows a 26% improvement in accuracy, 15.5% improvement in MHD of correct predictions and 201 reduces variance in prediction, as measured by AUC, by a factor of 2.5. There is scope for further 202 improvement on incorporation of features (specific to intersections) that are constant in time (e.g., 203 the existence of crosswalks and areas of interest like subway stations). Furthermore, testing the 204 learned prediction model on new but similar, four-way intersections and incorporating interactions 205 between pedestrians would provide good insight into the flexibility and robustness of this approach 206 respectively. 207

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